

02-04-02

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01/31/02
jc882 U.S. PTO

DOCKET NO. :NPCI-0294/719-127CON3

PATENT
jc997 U.S. PTO
10/062290
01/31/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:
Raja Achari et al.

Serial No.: Not yet assigned

Group Art Unit: Not yet assigned

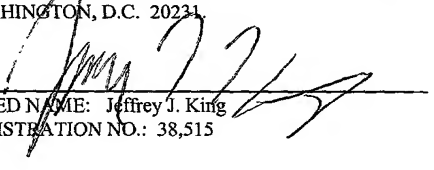
Filing Date: Herewith

Examiner: Not yet assigned

For: Nasal Delivery of Apomorphine

EXPRESS MAIL LABEL NO: EL695382480US
DATE OF DEPOSIT: January 31, 2002

I HEREBY CERTIFY THAT THIS PAPER IS BEING
DEPOSITED WITH THE UNITED STATES POSTAL
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POSTAGE PREPAID ON THE DATE INDICATED
ABOVE AND IS ADDRESSED TO **BOX PATENT
APPLICATION**, COMMISSIONER FOR PATENTS,
WASHINGTON, D.C. 20231.


TYPED NAME: Jeffrey J. King
REGISTRATION NO.: 38,515

Box ☒ Patent Application
☐ Sequence ☐ Design

BOX PATENT APPLICATION
Commissioner for Patents
Washington, DC 20231

Sir:

PATENT APPLICATION TRANSMITTAL LETTER

Transmitted herewith for filing, please find

☒ A Utility Patent Application under 37 C.F.R. 1.53(b).

It is a continuing application, as follows:

☒ continuation ☐ divisional ☐ continuation-in-part of prior application
number 09/334,304, filed June 16, 1999, which claims the benefit of prior **provisional**

10062290-013102

application serial No. 60/096,545, filed August 14, 1998.

- ☐ A Design Patent Application (submitted in duplicate).
- ☐ **Request for Nonpublication is attached.**

Including the following:

- ☐ New or Revised Specification, including pages ___ to ___ containing:
- ☐ Specification
 - ☐ Claims
 - ☐ Abstract
 - ☐ Substitute Specification, including Claims and Abstract.
- ☐ The present application is a continuation application of Application No. _____ filed _____. The present application includes the Specification of the parent application which has been revised in accordance with the amendments filed in the parent application. Since none of those amendments incorporate new matter into the parent application, the present revised Specification also does not include new matter.
- ☐ The present application is a continuation application of Application No. _____ filed _____, which in turn is a continuation-in-part of Application No. _____ filed _____. The present application includes the Specification of the parent application which has been revised in accordance with the amendments filed in the parent application. Although the amendments in the parent C-I-P application may have incorporated new matter, since those are the only revisions included in the present application, the present application includes no new matter in relation to the parent application.
- ☒ A copy of earlier application Serial No. 09/334,304, Filed June 16, 1999, including Specification, Claims and Abstract (pages 1 - 29), to which no new matter has been added. Such earlier application is hereby incorporated into the present application by reference. Attached is a copy of a new unsigned Declaration and Power of Attorney.
- ☐ Please enter the following amendment to the Specification under the Cross-Reference to

Related Applications section (or create such a section) : "This Application:

☐ is a continuation of ☐ is a divisional of ☐ claims benefit of U.S. provisional Application Serial No. _____ filed _____.

☐ Signed Statement attached deleting inventor(s) named in the prior application.

☒ A Preliminary Amendment.

☐ _____ Sheets of Formal Drawings.

☐ Drawing view to publish: _____

☐ Petition to Accept Photographic Drawings.

☐ Petition Fee

☒ An ☐ Executed ☒ Unexecuted Declaration or Oath and Power of Attorney.

☐ An ☐ Executed ☐ Copy of Executed Assignment of the Invention to _____
_____.

☐ A Recordation Form Cover Sheet.

☐ Recordation Fee - \$40.00.

☒ The prior application is assigned of record to Nastech Pharmaceutical Company, Inc.

☐ Priority is claimed under 35 U.S.C. § 119 of Patent Application No. _____
filed _____ in _____ (country).

☐ A Certified Copy of each of the above applications for which priority is claimed:

☐ is enclosed.

☐ has been filed in prior application Serial No. _____ filed _____.

☐ Please enter the following amendment to the first sentence of the specification (or create such a sentence): "This application claims benefit of international application No. _____, which was published under PCT Article 21(2) in English."

☒ Applicant(s) by its/their undersigned attorney, claims small entity status under 37 C.F.R.

10062290-013102

§1.27 as:

- ☐ an Independent Inventor
- ☒ a Small Business Concern
- ☐ a Nonprofit Organization.

- ☐ Diskette Containing DNA/Amino Acid Sequence Information.
- ☐ Statement to Support Submission of DNA/Amino Acid Sequence Information.
- ☐ Sequence listing consisting of pages _____.
- ☐ The computer readable form in this application _____, is identical with that filed in Application Serial Number _____, filed _____. In accordance with 37 CFR 1.821(e), please use the ☐ first-filed, ☐ last-filed or ☐ only computer readable form filed in that application as the computer readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the computer readable form that will be used for the instant application. A paper copy of the Sequence Listing is ☐ included in the originally-filed specification of the instant application, ☐ included in a separately filed preliminary amendment for incorporation into the specification.
- ☒ Information Disclosure Statement.
- ☒ Attached Form 1449.
- ☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- ☐ A copy of Petition for Extension of Time as filed in the prior case.
- ☒ Return Receipt Postcard (should be specifically itemized).
- ☒ Other as follows: Photocopy of Revocation and Power of Attorney filed in parent case, USSN 09/334,304, filed June 16, 1999. Photocopy of Notice Regarding Power of Attorney mailed October 3, 2001.

100629001

FEE CALCULATION:

- ☒ Cancel in this application original claims 31-34 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

| | | | SMALL ENTITY | | NOT SMALL ENTITY | |
|--|-----------|-----------|--------------|-------|------------------|-----|
| | | | RATE | FEE | RATE | FEE |
| DESIGN APPLICATION | | | \$165.00 | \$ | \$330.00 | \$ |
| UTILITY APPLICATIONS BASE FEE | | | \$370.00 | \$370 | \$740.00 | \$ |
| UTILITY APPLICATION; ALL CLAIMS CALCULATED AFTER ENTRY OF ALL AMENDMENTS | | | | | | |
| | No. Filed | No. Extra | | | | |
| TOTAL CLAIMS | 57 - 20 = | 37 | \$9 each | \$333 | \$18 each | \$ |
| INDEP. CLAIMS | 7 - 3 = | 4 | \$42 each | \$168 | \$84 each | \$ |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM | | | \$140 | \$-0- | \$280 | \$ |
| ADDITIONAL FILING FEE | | | | \$ | | \$ |
| TOTAL FILING FEE DUE | | | | \$871 | | \$ |

- ☒ The Commissioner is authorized to charge payment of the following fees and to refund any overpayment associated with this communication or during the pendency of this application to deposit account 23-3050. This sheet is provided in duplicate.
- ☒ The foregoing amount due.
- ☒ Any additional filing fees required, including fees for the presentation of extra claims under 37 C.F.R. 1.16.
- ☒ Any additional patent application processing fees under 37 C.F.R. 1.17 or 1.20(d).
- ☒ The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to

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charge any fees related to any such extension of time to deposit account 23-3050. This sheet is provided in duplicate.

SHOULD ANY DEFICIENCIES APPEAR with respect to this application, including deficiencies in payment of fees, missing parts of the application or otherwise, the United States Patent and Trademark Office is respectfully requested to promptly notify the undersigned.

Date: *January 31, 2002*


Jeffrey J. King

Registration No. 38,515

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